

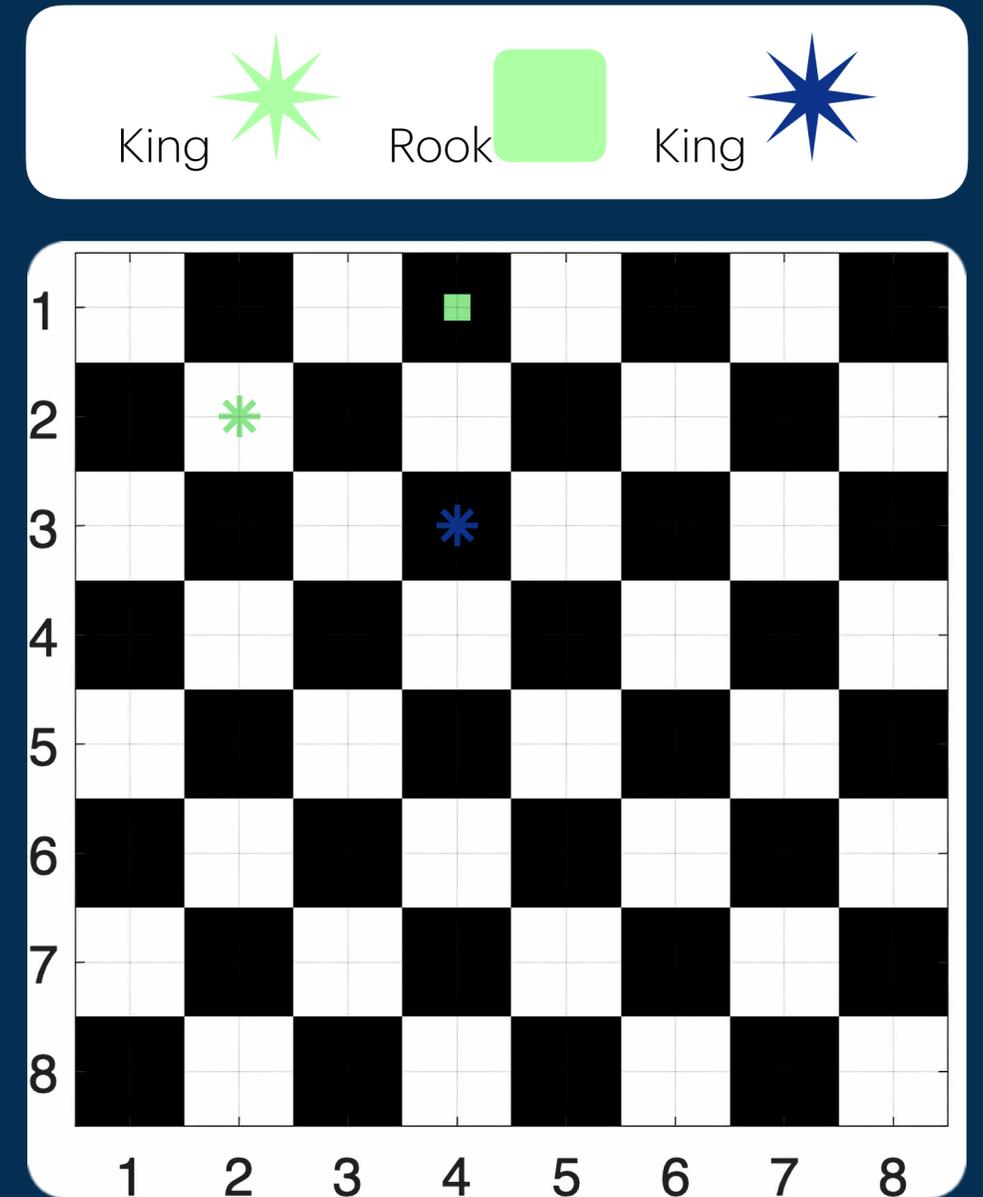
# King + Rook vs King Endgames

A **min – max** solver

# Motivation

Why is this interesting?

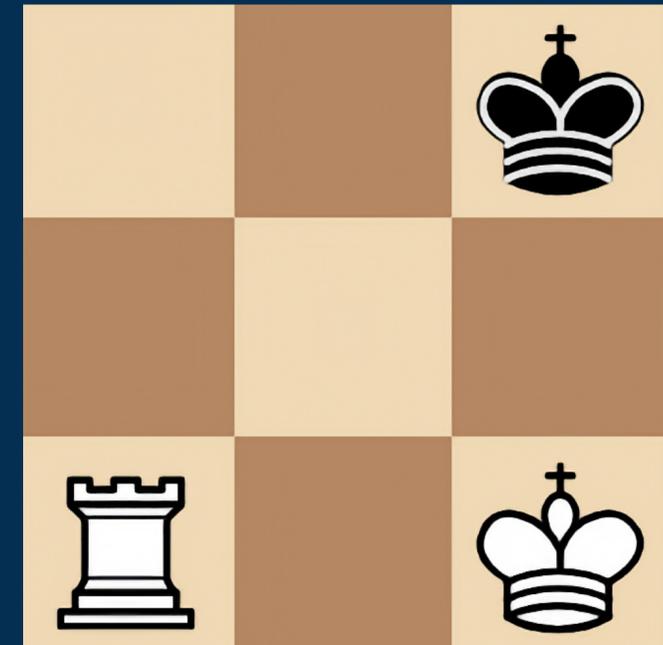
- There are approximately  $10^{120}$  different possible games of chess
- Even only considering a KRK endgame, there are ~250,000 different unique configurations of the board and checkmate is nontrivial
- Can we build a software implementation of chess that utilizes game theory to find a faster solution than playing at random?



# King+Rook vs King End Game

## The situation

- Two player alternate zero-sum game
  - P1 White/Green (minimizer)
    - King + rook
    - Wins the game by forcing checkmate on P2
  - P2 Black/Blue (maximizer)
    - Lone king
    - Wins by capturing the rook and prolongs being put in checkmate



# State Representation

Every chess position is encoded as a 65-element vector

- Elements 1-64: the vectorized 8×8 chessboard
  - +10 (white king)
  - +5 (white rook)
  - -10 (black king)
  - 0 (empty)
- Element 65: whose turn it is (+1 = white, -1 = black)

$$x = \begin{bmatrix} 0 \\ \vdots \\ 5 \\ \vdots \\ -10 \\ \vdots \\ 10 \\ \vdots \\ \pm 1 \end{bmatrix}$$

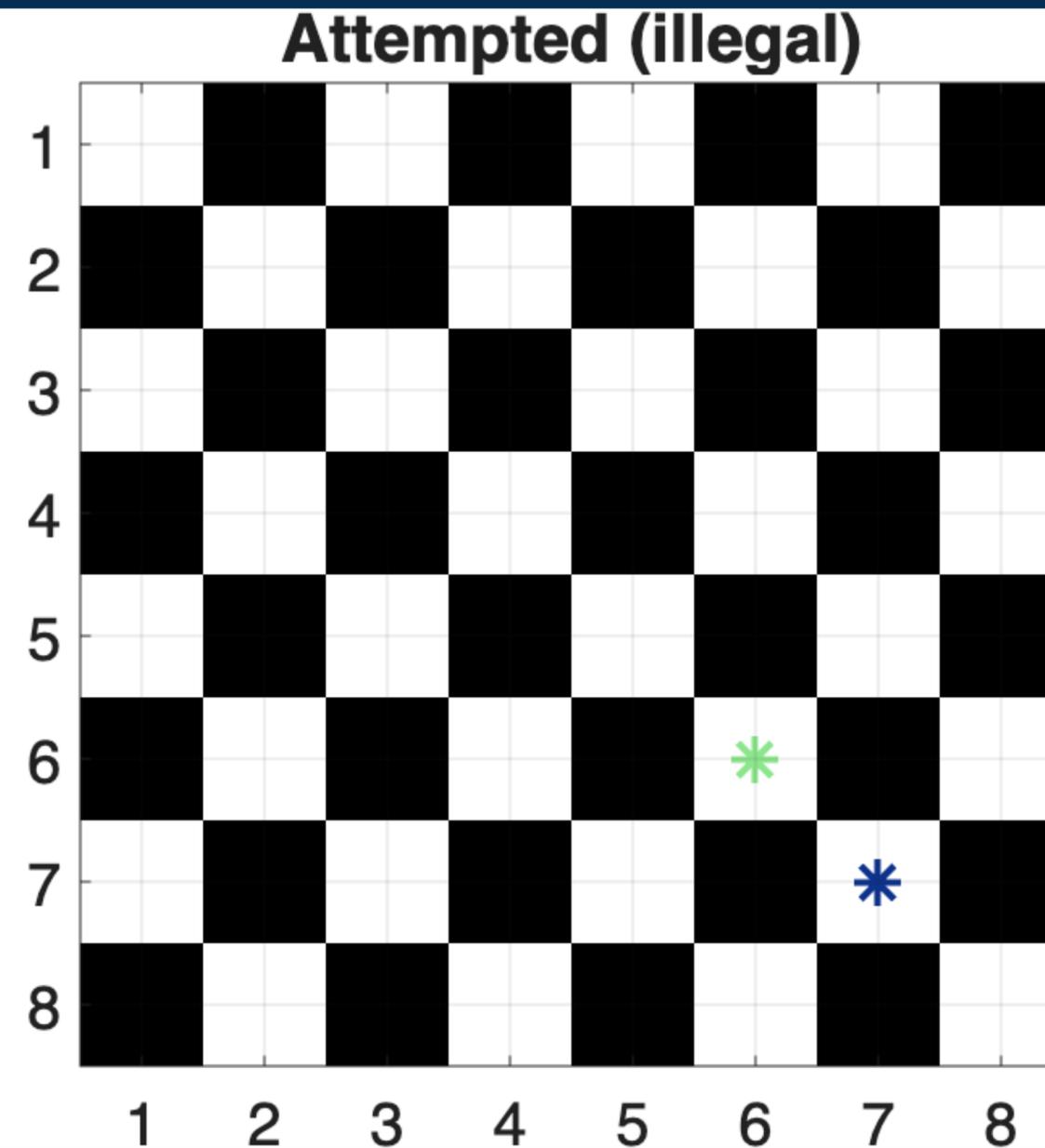
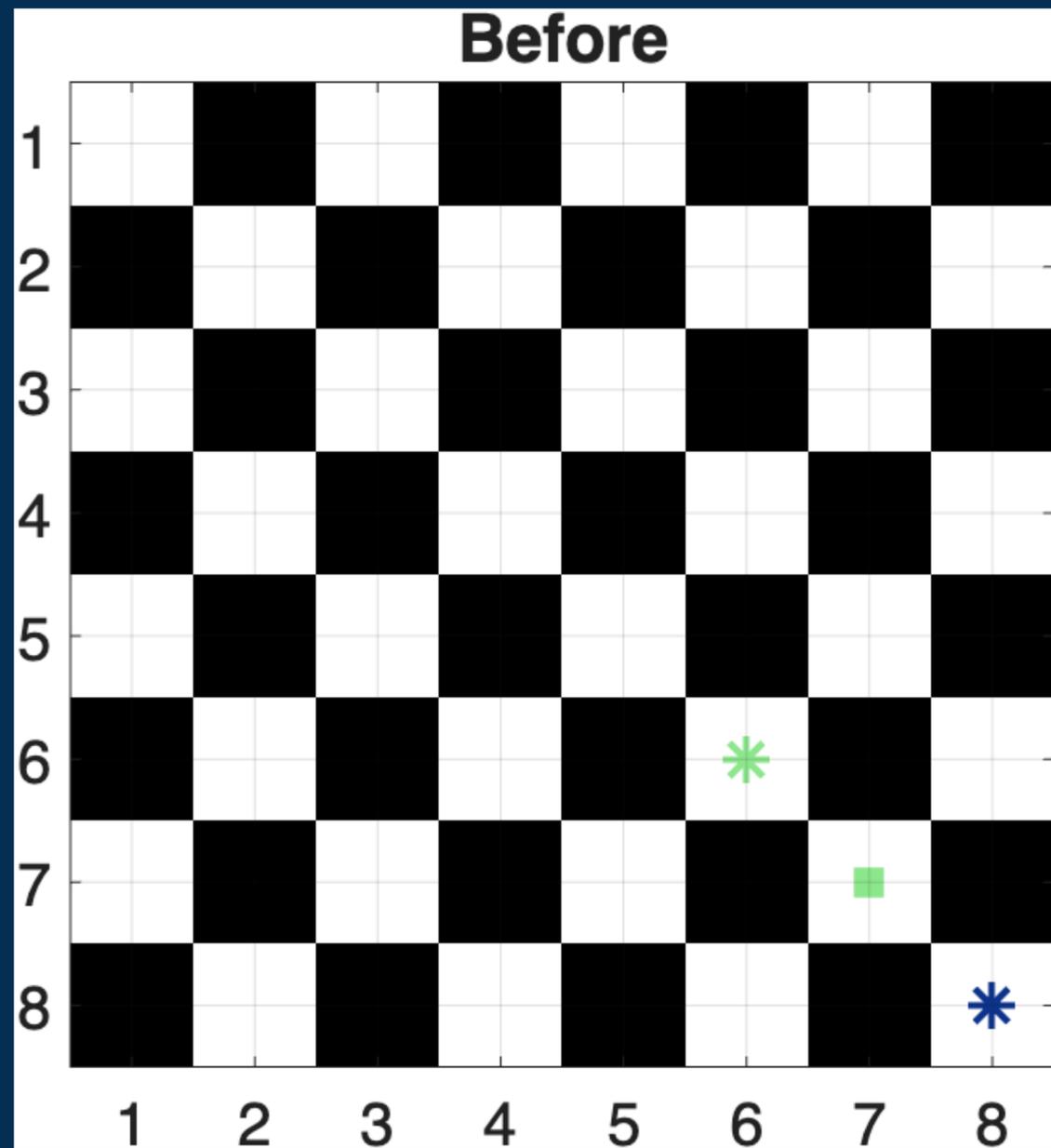
# Action Spaces & Legal Moves

What moves can we make?

- P1 action space
  - $\Gamma_1 \in \{\text{move king to any adjacent space}\} \times \{\text{move rook vertically or horizontally}\}$
- P2 action space
  - $\Gamma_2 \in \text{move king to any adjacent space}$
- Illegal moves
  - P1 and P2 cannot put themselves into check
  - P1 and P2 pieces cannot leave the board, unless captured

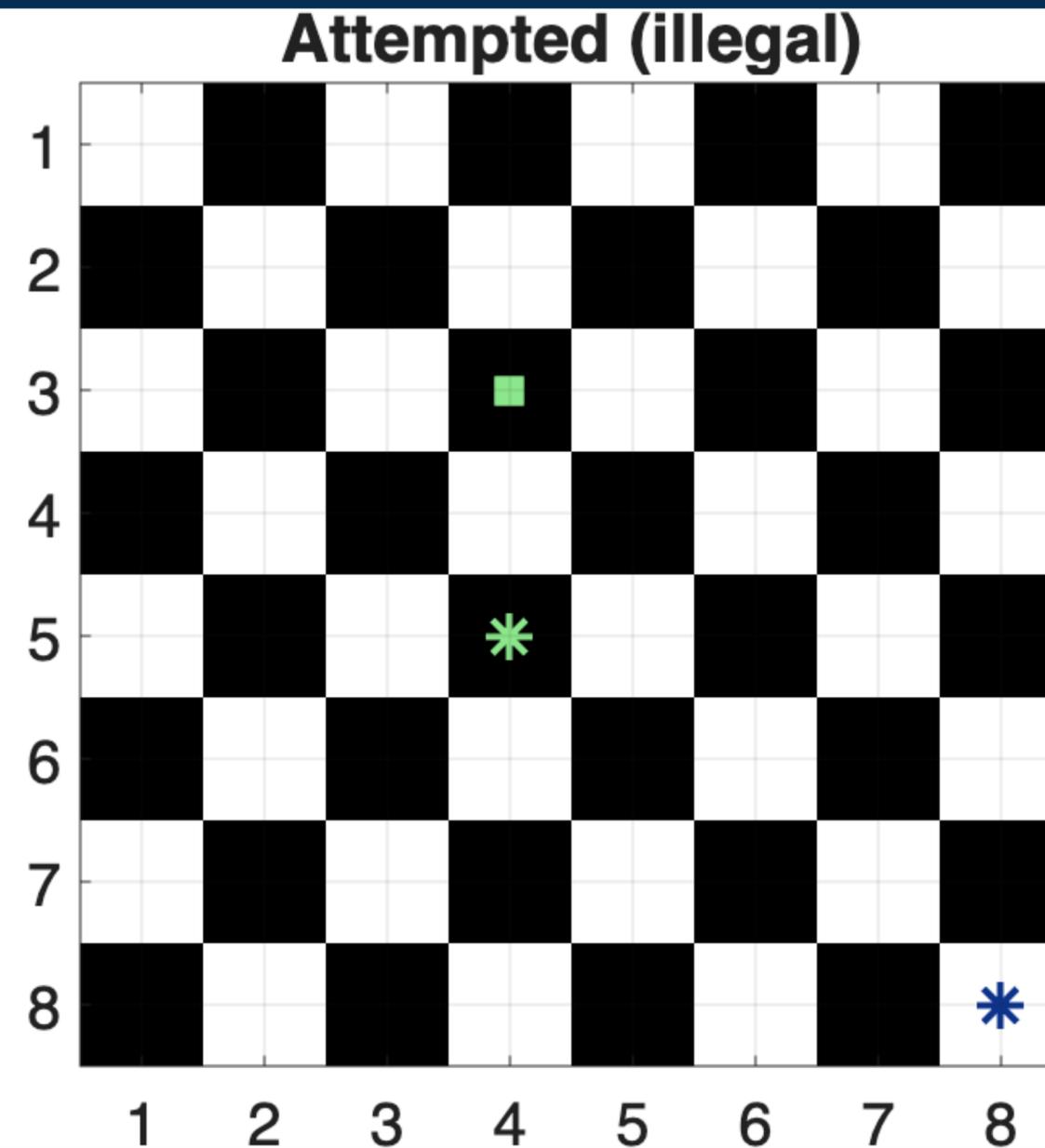
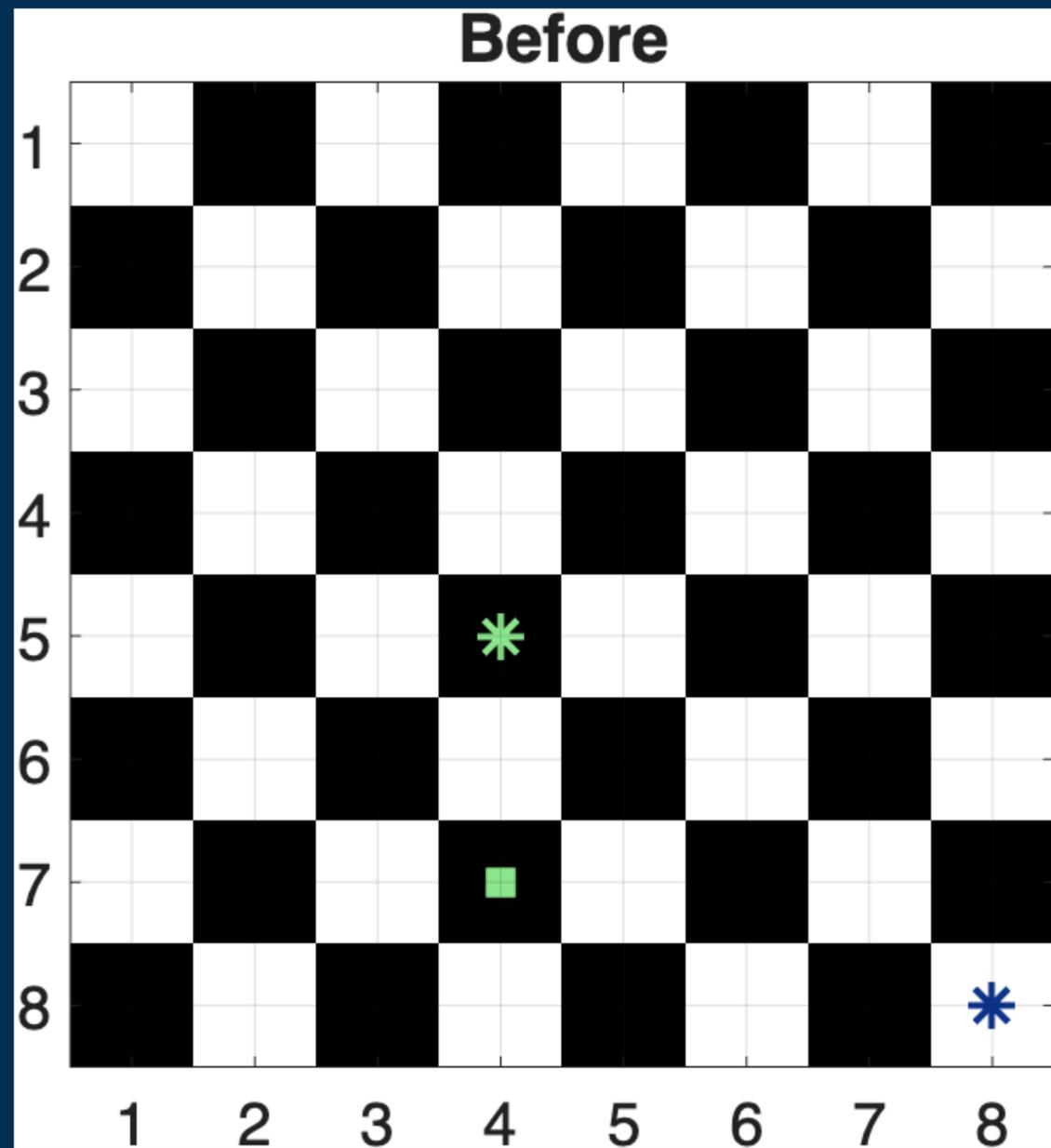
# Illegal Moves

Green Rook is protected by Green King



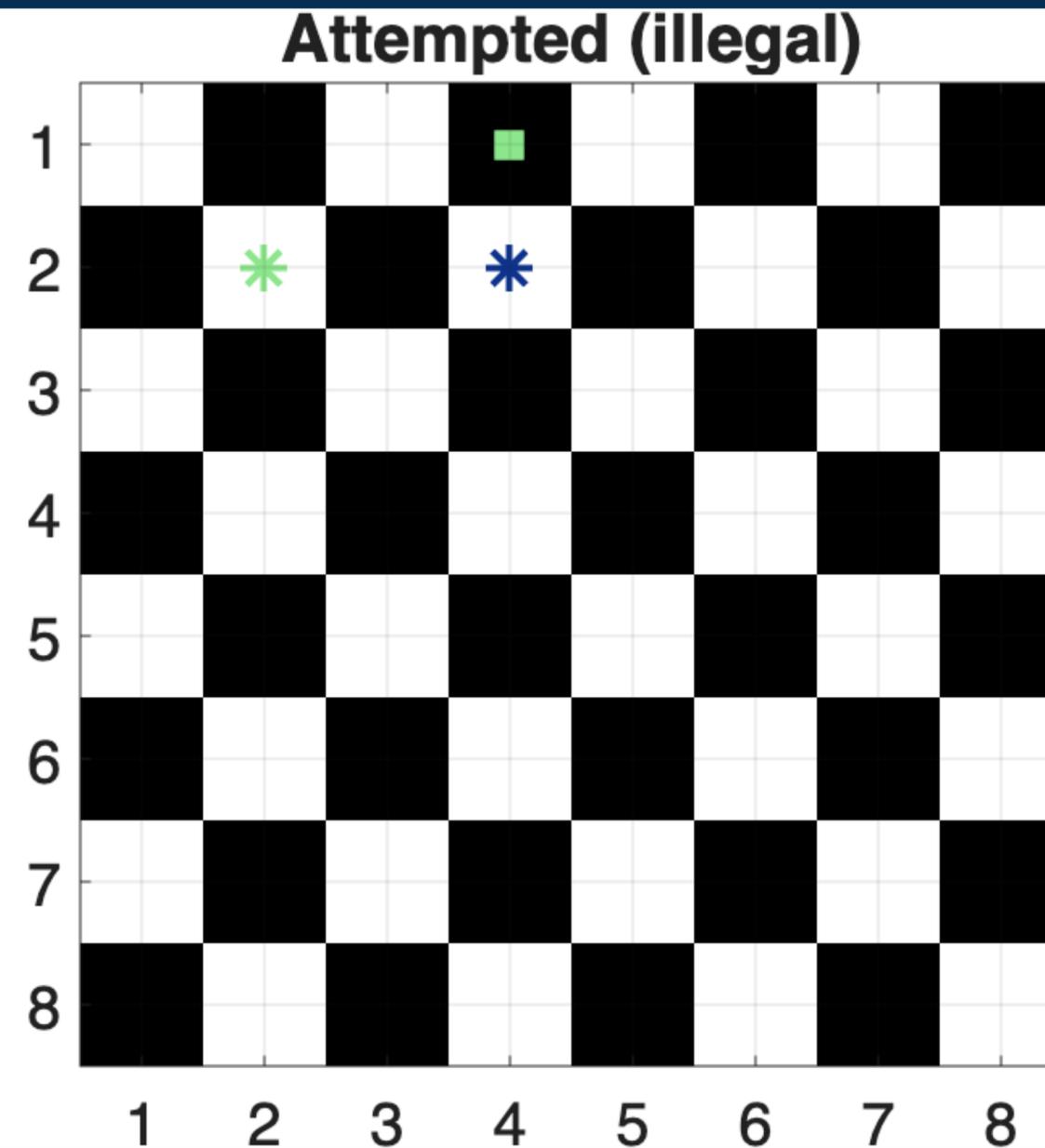
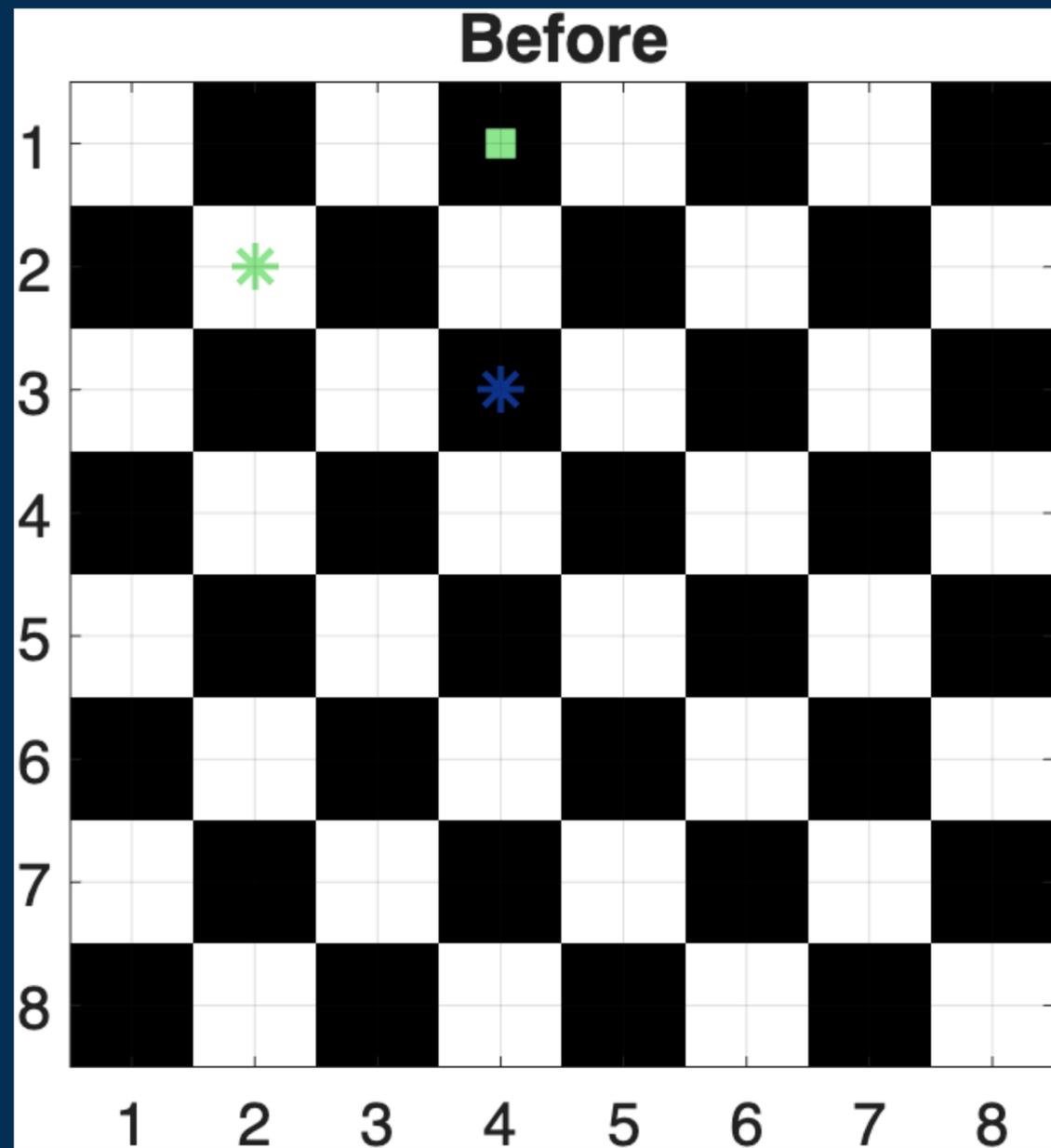
# Illegal Moves

Rook cannot travel through a piece



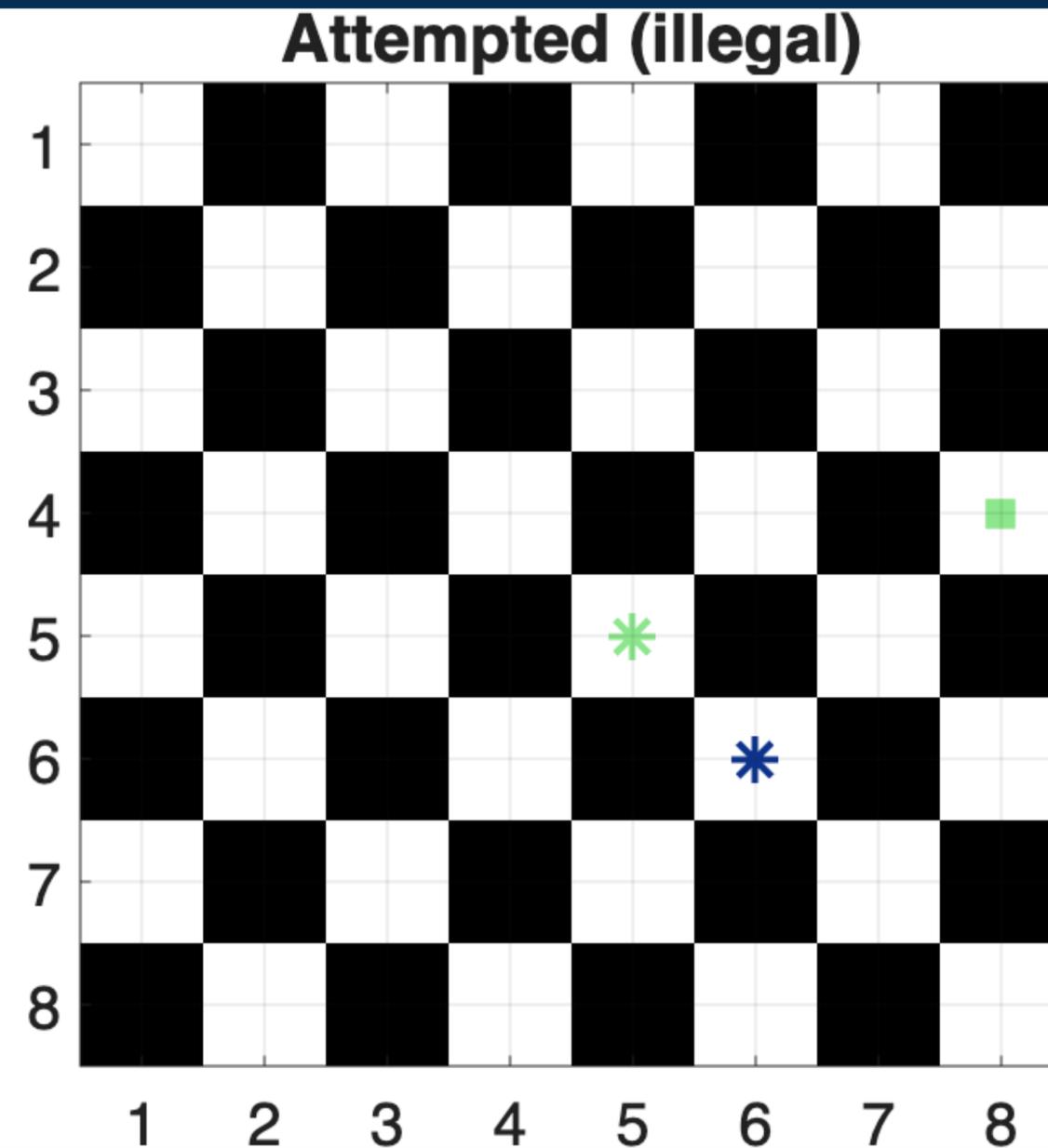
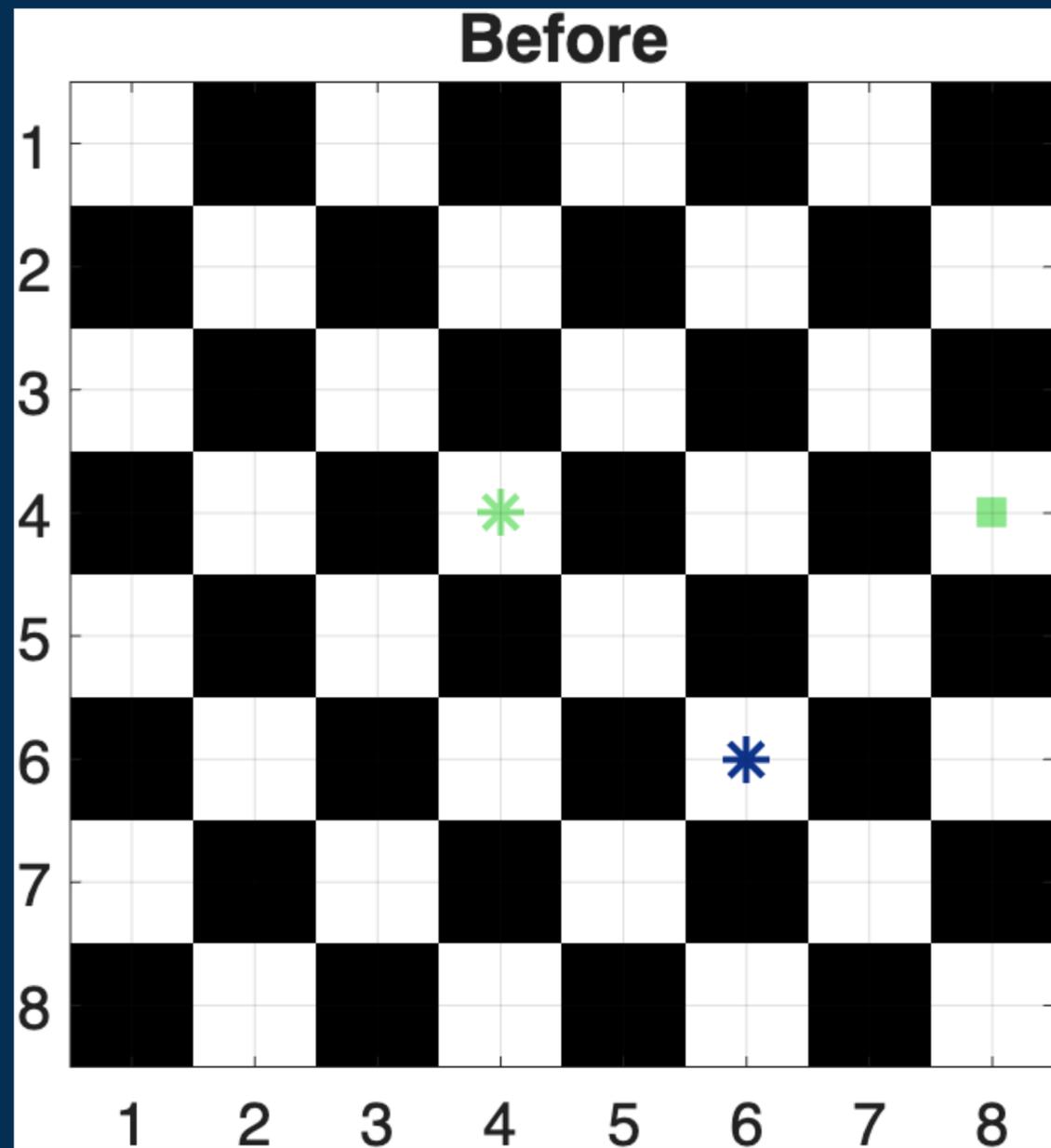
# Illegal Moves

Blue King cannot move into check



# Illegal Moves

Kings cannot move next to each other



# The art of the cost function

We design a heuristic cost function to drive the minimax optimization

$$J = \text{inJail} + \text{oxygenP2} + \text{distKings} + i_{\text{mate}} + i_{\text{stale}}$$

$$\text{inJail}(\text{oxygenP2}(x)) = \begin{cases} 100 & \text{if oxygenP2}(x) = 0 \\ 0 & \text{if oxygenP2}(x) \neq 0 \end{cases}$$

$\text{oxygenP2}(x)$  = number of free spaces that P2 can reach with P1 frozen

$\text{distKings}(x)$  = chebychev distance between the kings

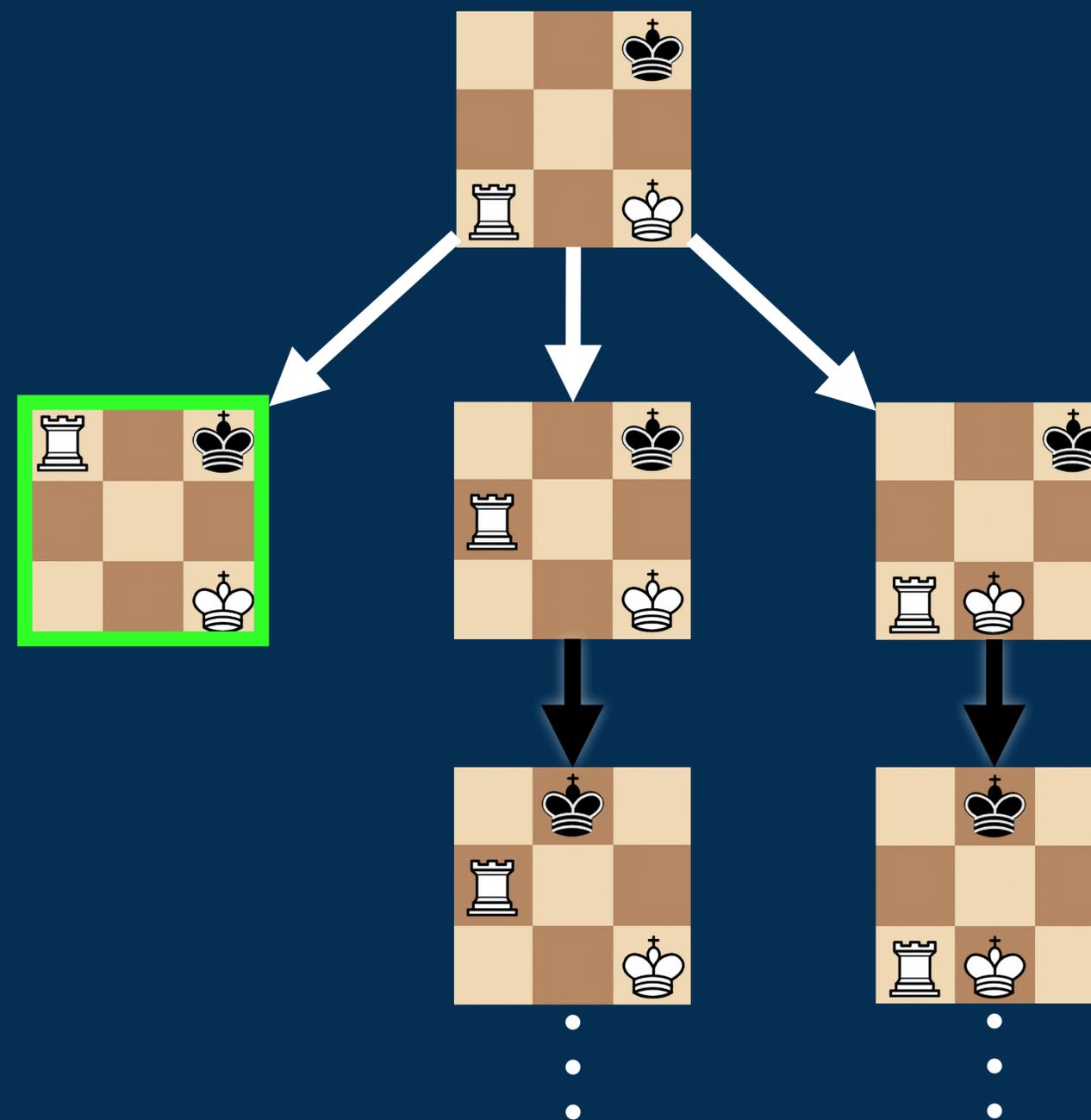
$$i_{\text{mate}}(x) = \begin{cases} -\infty & \text{if checkmate}(x) = 1 \\ 0 & \end{cases}$$

$$i_{\text{stale}}(x) = \begin{cases} +\infty & \text{if stalemate}(x) = 1 \\ 0 & \end{cases}$$

# Minimax Algorithm

A decision-making algorithm for finite two-player zero-sum games

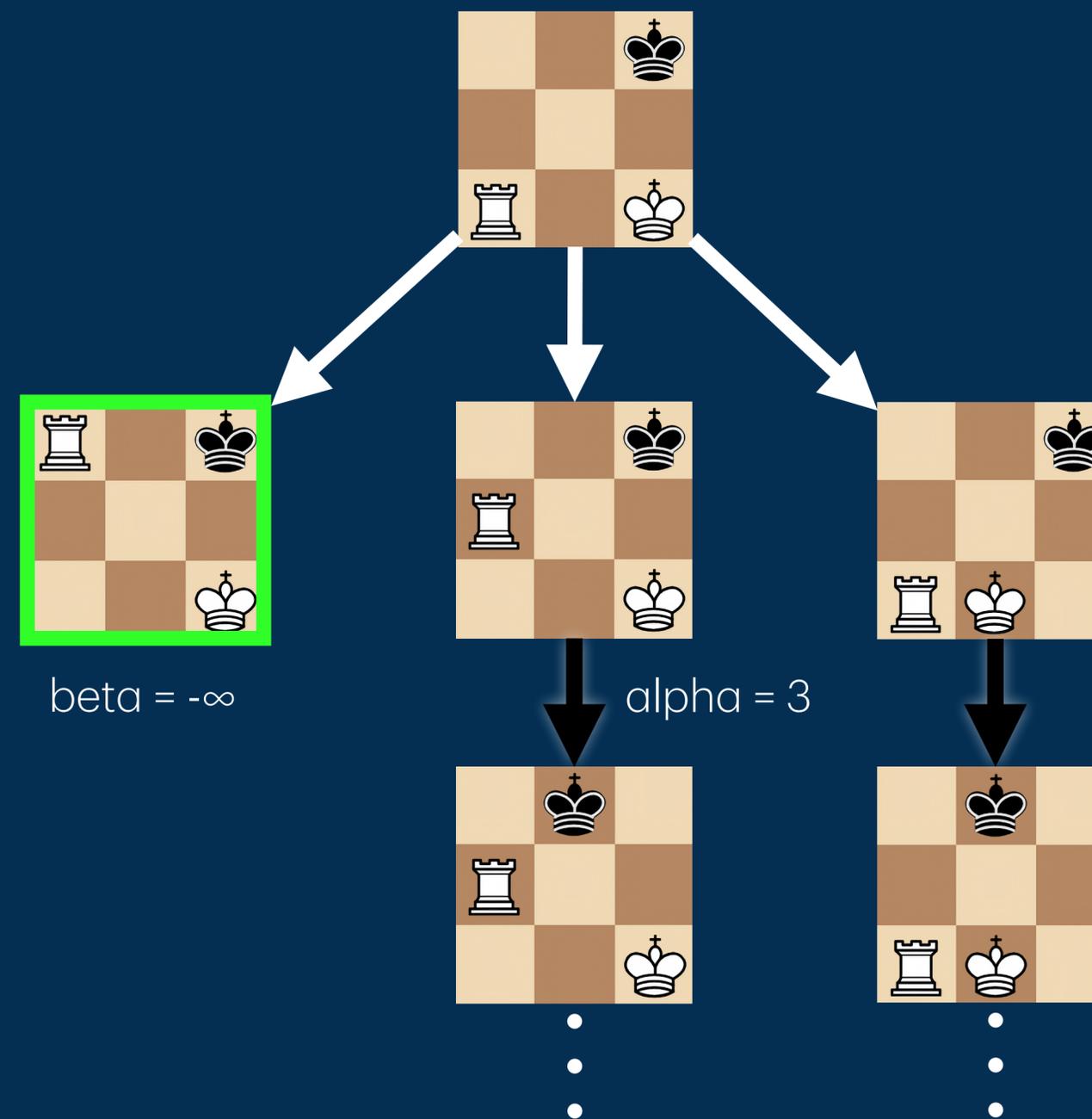
- White is the minimizer, black is the maximizer
- Assumes optimal play from both sides: opponent picks worst move, to fight objective
- Searches the game tree to a fixed depth
- Then backtracks and returns the move with the best valued cost function



# Alpha-Beta Pruning

A search-time improvement to the minimax algorithm

- Alpha: the best score that the maximizer (black) can guarantee so far
- Beta: the best score that the minimizer (white) can guarantee so far
- Both start at their worst possible values:  $\alpha = -\infty$ ,  $\beta = +\infty$
- When  $\beta \leq \alpha$ : current branch cannot be selected by a rational opponent, so prune it
- Reduces nodes evaluated without changing result



# Further Optimizing

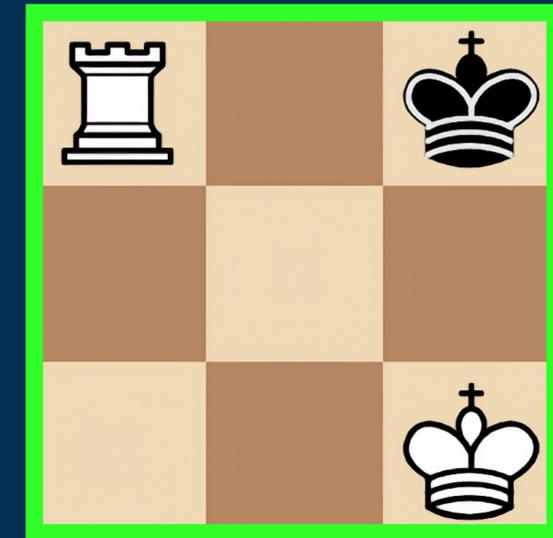
## Recursive Tree Evaluation with Memoization

- Next move is chosen by setting up a multi-stage alternative game with  $n$  number rounds
- The values of the leaves are determined by the cost function given the state determined by the last turn
- Memoization - returns cached result for previously computed states
- Checkmate - once found, no other nodes are searched

# Terminal Cases & Boundary Conditions

## Ending the game

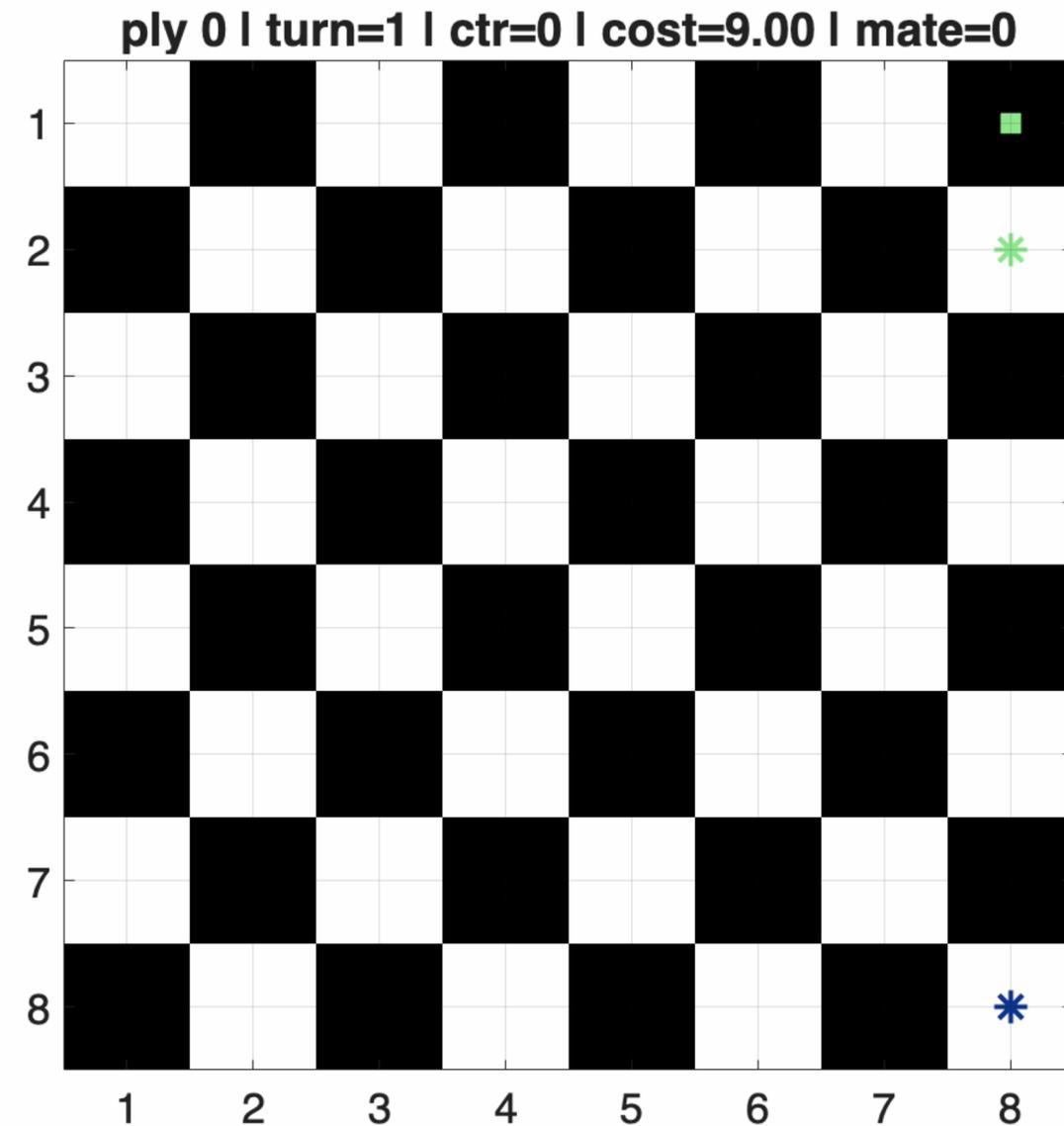
- Checkmate: black king is in check AND has zero legal moves
- Stalemate: (black king is not in check AND has zero legal moves) OR (rook captured)
- Length of state vector is fixed so pieces cannot accidentally leave the board
- Game starting in checkmate or stalemate are solved trivially



# Examples

## *Interesting Behaviors*

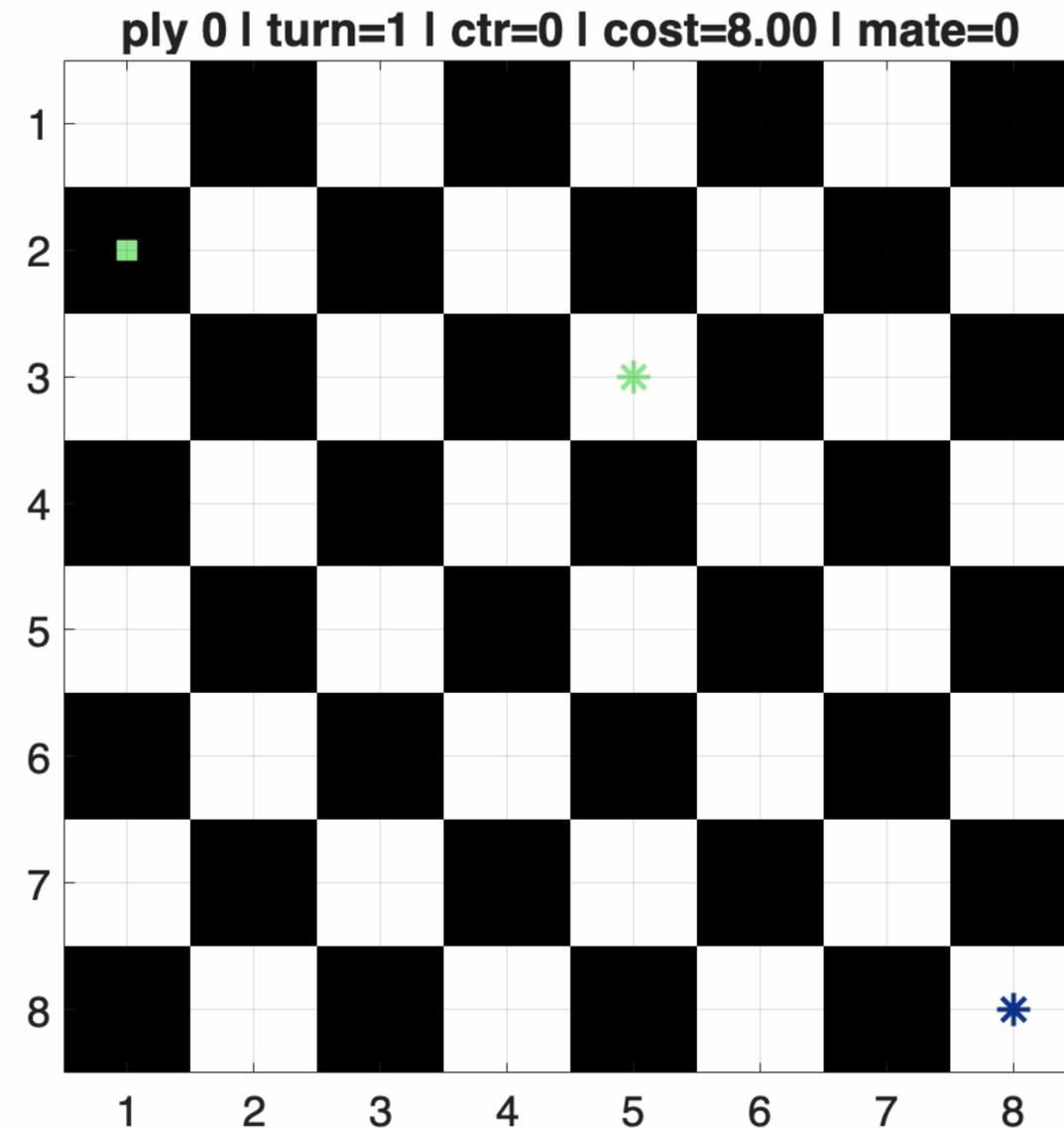
- Green King frees the Blue King to achieve checkmate



# Examples

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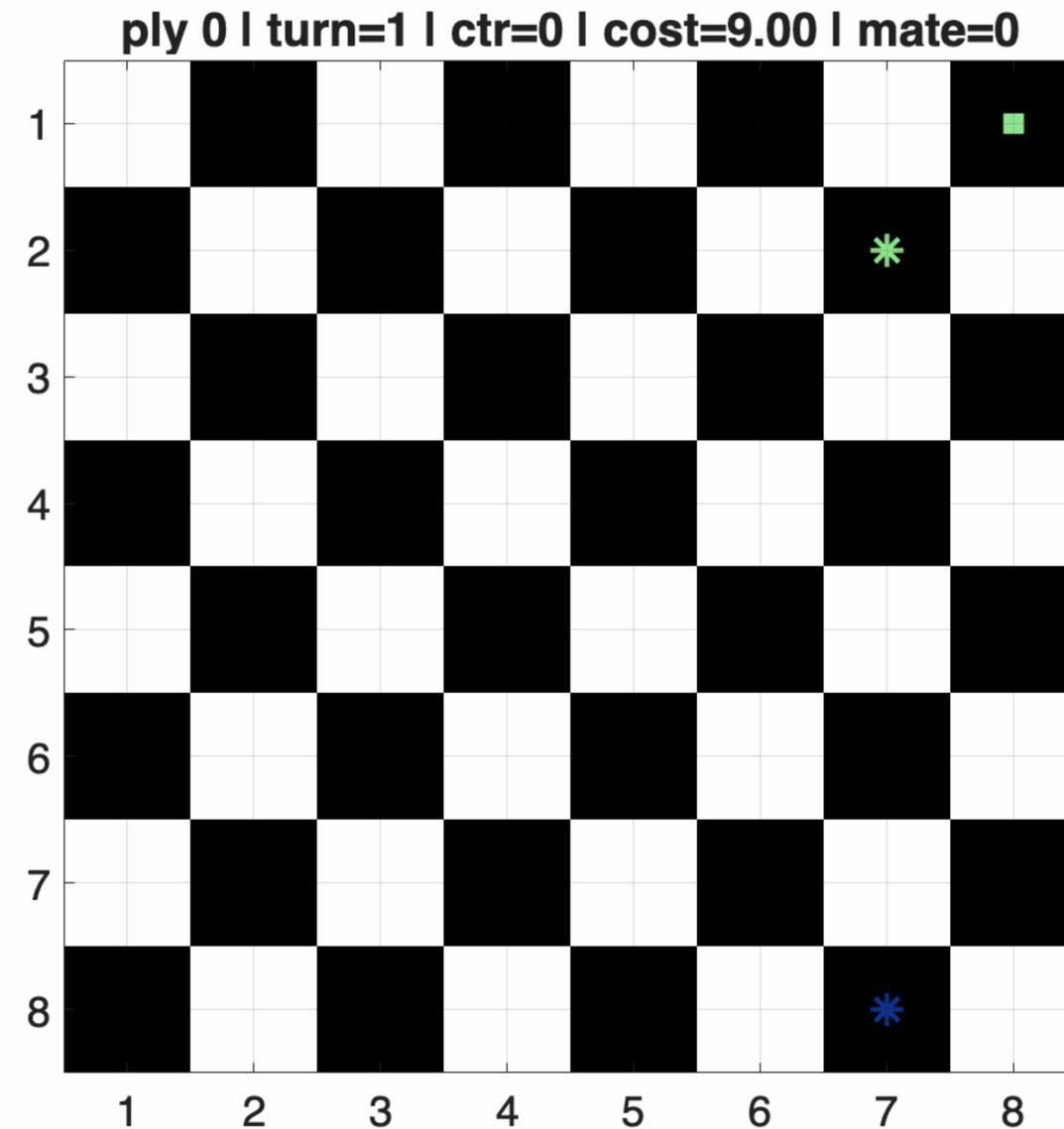
- Rook moves to trap then waits for Green King to close in



# Examples

## *Interesting Behaviors*

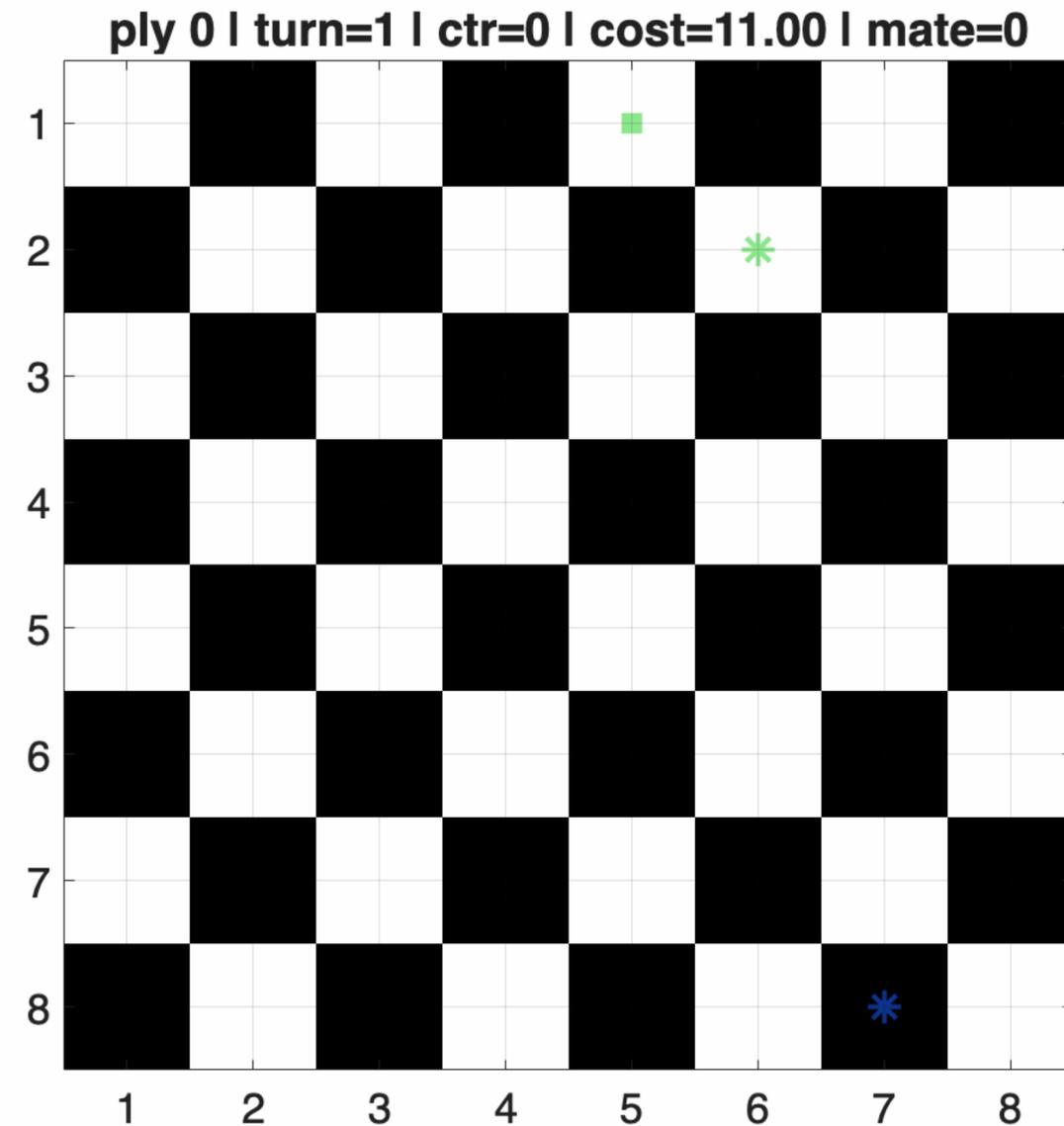
- Mate found without first blocking the Blue King against an edge with the rook



# Examples

## *Interesting Behaviors*

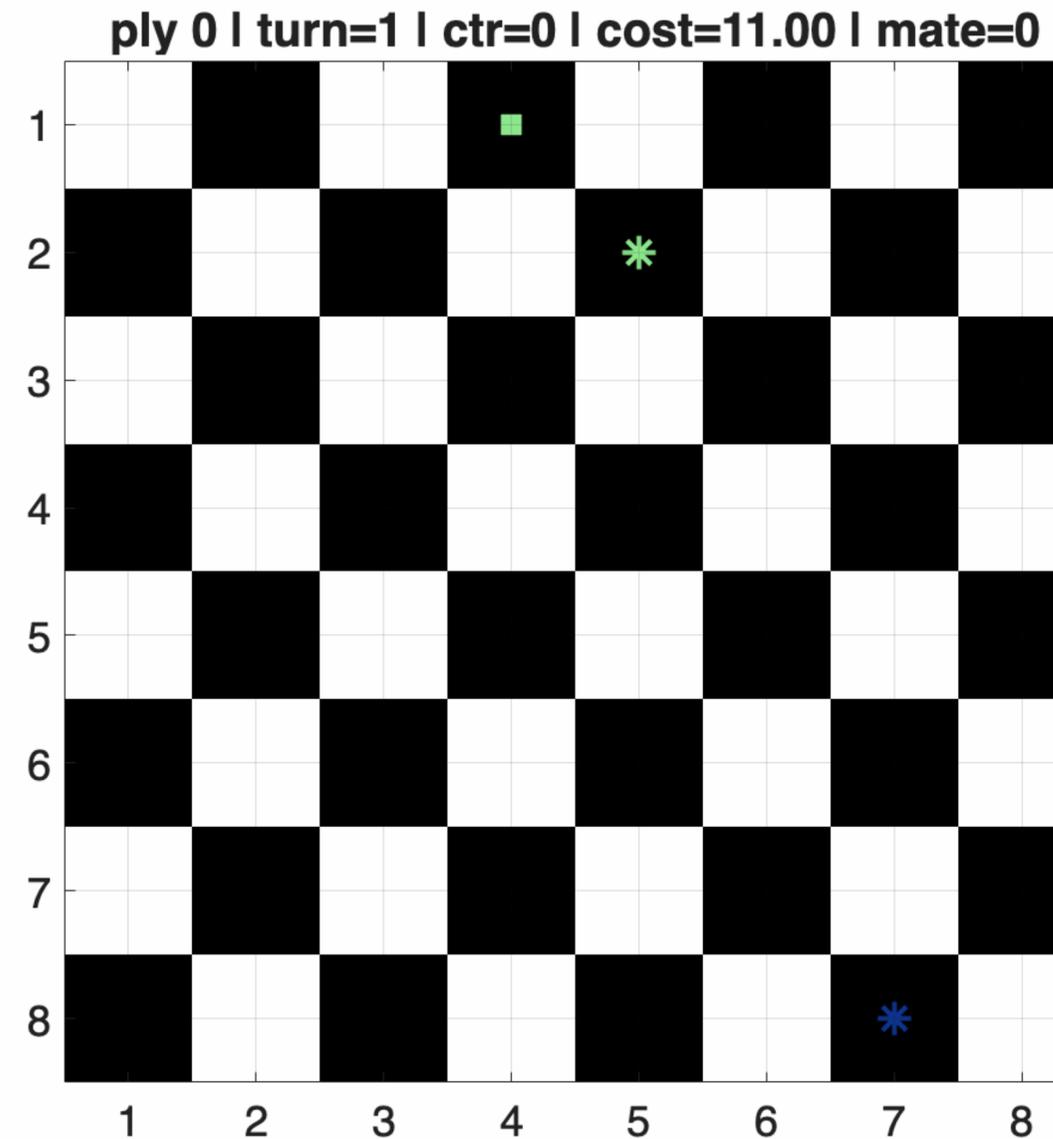
- Blue King chases unprotected rook



# Examples

## *Interesting Behaviors*

- Blue King stops chasing rook to delay mate
- Green Rook backs away to force mate in future move



# Future Work

- Play game against better chess solvers like stockfish
- Incorporating more pieces
- Implementing dynamic program
- Implementing Monte Carlo Search Tree
- Testing and optimizing cost function (even more!)
- Optimizing runtime and memory

Thank You!

Questions?